## FACULTY SENATE SOUTHEAST MISSOURI STATE UNIVERSITY

## FACULTY SENATE BILL 24-A-XX

Approved by the Faculty Senate XXXXXX

BRIEF SUMMARY: This bill revises the "Dossier" portion of the Tenure and Promotion
 section in the *Faculty Handbook* to reflect the description and procedural changes for using
 electronic dossiers.

5 TITLE OF BILL: REVISING "TENURE AND PROMOTION: DOSSIER"

6

4

**BE IT RESOLVED THAT:** Subject to the passage and approval of this bill, the current content regarding the description of, and procedures for preparing, dossiers for Tenure and Promotion applications in the Faculty Tenure and Promotion section of Chapter 2 of the *Faculty Handbook* 

- 10 will be amended by replacing it with the content below.
- 11 12

## 13 **Dossier**

A faculty member's promotional dossier shall be comprised of a Record of Service of accomplishments organized according to the departmental tenure and promotion criteria in reverse chronological order, a professional curriculum vita, a minimum of three letters of support from professional colleagues addressing the areas of Teaching Effectiveness, Professional Growth, and Service, a current copy of the department's Tenure and Promotion criteria, and any supporting materials that the faculty member wishes to include.

21

Period Covered by Dossier. The period covered by a candidate's Record of Serviceshould be:

- *from* the time of original employment (including any activities contractually counting towards tenure and/or promotion), or
- *from* the time of any previous, successful application for tenure and promotion,
   promotion, or post-professorial merit.
- 28
- 29 *Preparation of the Dossier.* The tenure and promotion and post-professorial merit processes
- 30 involve critical reviews by individuals and committees on several levels. The
- 31 evaluations and judgements made during these processes must be based solely on
- 32 evidence presented in the dossier as measured against the departmental criteria. For

this reason, the collection and organization of evidence are vital. Thorough

- 34 documentation enables the reviewers to make judgements based on sound evidence
- and greatly enhances the prospects of a favorable recommendation. Conversely,

36 inadequate documentation can seriously reduce the possibility of a favorable

37 recommendation even though the performance of the faculty member may otherwise38 warrant it.

39

40 **Procedures** Faculty Senate bill 14-A-19 begins here.

41 Beginning the 2023-2024 academic year, an electronic dossier system will be used for collecting the Record of Service, curriculum vita, recommendation letters, and a 42 43 copy of the department's approved Tenure and Promotion criteria and making these materials available to designated reviewers. The electronic dossier system will also 44 collect summary information at the beginning of the application process, to include the 45 faculty member's name and department, present rank, years of service at any given 46 47 rank, and academic degrees held. The use of cloud storage for supporting materials will be optional until Fall 2026, at which point all faculty will be required to use cloud 48

49 storage for accessing supporting materials.

50 The copyrights, intellectual property and privacy of the faculty member 51 submitting an electronic dossier will be rigorously maintained at every stage of the 52 online submission and review process. Other than the designated reviewers (tenure and 53 promotion advisory committees, chairs, deans, the provost, and the president), only 54 electronic dossier system administrators will have access to the dossier storage and 55 submission system. No student workers will at any time have access to the system, or to 56 any developing or stored dossiers.

57 The Office of the Provost will provide faculty members with access to a cloud 58 storage folder to upload and store their supplementary materials from the time of New Faculty Orientation until the official application deadline for dossier submission, or 59 from the time of any previous, successful application until the official deadline for 60 dossier submission. Faculty are responsible for making sure the materials in their 61 62 electronic dossiers are configured to match their department criteria layout and are appropriately linked to their Record of Service documents so that any reviewers 63 clicking a link have access to the referenced file. 64

After the final dossier submission deadline, candidates will have read-only
access to their materials until either their application has moved completely through the
review process or the faculty member withdraws the application. During the review
process, candidates will have the opportunity to upload letters of response or intention
to appeal via the electronic dossier system as is outlined in the policy calendar section.
Electronic dossier system administrators will be available to help faculty use the

71 system, and designated reviewers will be offered training on the online reviewing

72 system at the start of each academic year. No failure of the electronic system will be 73 allowed to negatively affect a faculty member's candidacy. Departments will evaluate

74 available equipment relative to the needs of preparing electronic dossiers and request

75 additional equipment funding from the Office of the Provost if necessary.

At the beginning of each fall semester, the Office of the Provost will compile a 76 77 current membership list of Departmental, College, and University Tenure and 78 Promotion Committees and work with the electronic dossier system administrators to ensure viewing permissions for reviewers are configured in accordance with the tenure 79 80 and promotion calendar section. Department chairpersons and college deans are responsible for communicating any subsequent changes in committee membership to 81 82 the Office of the Provost in a timely manner. 83 Designated reviewers will be notified electronically when dossiers are available 84 to them in accordance with the tenure and promotion policy calendar section. Once any given level of review has been completed and the corresponding recommendation has 85 been uploaded, reviewers at that level will no longer have any access to the dossier and 86 87 the next level of reviewers will be notified that the dossier is available for evaluation. 88 Any University-wide failure of the electronic dossier system on the day of, or 89 day prior to, a submission deadline will result in an extension. The new deadline will be 90 5:00 p.m. on the business day following the restoration of the system and recovery of 91 any data loss from the candidate's file. Electronic dossier system administrators will be 92 responsible for notifying applicants and reviewers that the electronic dossier system has been restored and the data recovered. 93 94 Under no circumstances may anything be added to the electronic dossier or 95 supporting materials after the official application deadline except for the necessary recommendations and letters of response. All recommendations by designated 96 97 reviewers will be sent to the candidates via the electronic dossier system, and 98 candidates should save those recommendation files for their records. 99 The language in the surrounding tenure and promotion policy will be understood to be applicable to the electronic dossier. Words such as "written," 100 "added," "submitted," "forwarded," and "signed" can be understood in the context of 101 an online process. 102 103 When the review and recommendation process is complete and candidates have 104 received the approval of the Board of Governors, they will have the opportunity to 105 download and save a complete copy of their dossier (including all attached letters and recommendations) and supporting materials. The faculty member may at that point ask 106 107 the electronic dossier system administrators to permanently delete their supporting materials from cloud storage. It will be the faculty members' responsibility, however, to 108 109 keep their own copies of their materials. 110 111 File size and type guidelines: 112 File size and type guidelines should be reviewed and updated every 3 years.

Faculty may link to external sources from their Record of Service, with the caveat that

such links sometimes break, and reviewers may consequently lose access to the linked

- 115 information.
- 116

Date and Version: November 2, 2023, CLEAN v1a Handbook Section: Chapter 2, Faculty Tenure and Promotion: Dossier Proposed Change: Revising Guidelines for Preparation of Tenure/Promotion Dossiers Source of Bill: Faculty Senate Professional Affairs Committee

## The file sizes and format guidelines for electronic dossiers are as follows: Audio: MP3 files Video: MP4 files at 480p or 720p Text: Limited to 1200 pages

No dossier shall exceed 30 Gb total storage.
 Approved by Faculty Senate 3/26/14, Approved by President 4/24/14, Posted for 15-Day Review 4/25/14 \*Referral to Resolution
 17-1

125

126 127 \*\*\*

Action	Date
Introduced to Senate	11/8/2023
Second Senate Meeting	
Faculty Senate Vote	
President's Review	
15 Day Review	
Posted to Faculty Handbook	

128