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Handbook Section: Academic Due Process

Proposed Change:

Source of Bill: Governance Committee

#### **FACULTY SENATE**

#### SOUTHEAST MISSOURI STATE UNIVERSITY

# FACULTY SENATE BILL 23-A-XX

Approved by the Faculty Senate XXXXXX

**BRIEF SUMMARY:** This bill revises the "Academic Due Process" section of Chapter 2 in the Faculty Handbook to reflect that the Board of Governors has designated this duty to the university president.

### REVISING PROCEDURES FOR ACADEMIC DUE PROCESS

**BE IT RESOLVED THAT:** Subject to the passage and approval of this bill, the section on "Academic Due Process" in Chapter 2 of the Faculty Handbook will be replaced by this bill.

# **TITLE OF BILL (Academic Due Process)**

## 1 Academic Due Process

- 2 This statement deals with procedural standards and guides to be followed when the
- 3 fitness of either a tenured faculty member or a non-tenured faculty member, whose
- 4 term of appointment has not expired, is questioned. While it is necessary that certain
- 5 legal requirements be followed, the spirit and intent of establishing simple rules for
- 6 the protection of all parties that may be involved remain as the primary objective here.
- 7 These rules are designed to promote a sense of fair play and recognition of the mutual
- 8 rights, as well as obligations, of the parties.
- 9 Should the fitness of a faculty member be seriously questioned, it is the initial
- 10 responsibility of the department involved to deal with the issue. The chairperson is
- responsible for convening the department, which will then elect a review committee
- which is representative of the department faculty. This committee will study and make
- 13 recommendations to the department chairperson on questions concerning ethical
- conduct and satisfactory performance of professional responsibilities.
- Ouestions involving possible breach of ethics, failure to meet professional
- responsibilities, and the like may be initiated at any level, either administrative or
- faculty, and should be referred first to the department chairperson. The department
- chairperson, after discussing the matter with the individual faculty member involved,

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will refer the issue to the review committee if in their opinion there is substance to the

- 2 charges. Following the findings of the committee, the department chairperson will
- 3 submit a written report with their recommendation to the faculty member in question
- 4 and to the dean of the college for appropriate action.
- 5 If no agreement is reached and there remains a dispute, formal proceedings may be
- 6 invoked by the administration. Faculty members whose fitness is in controversy shall
- be continued in their positions until removed by action of the university president.
- 8 Should such continuance constitute a clear and present danger, either to the
- 9 University, the students, the public, or to themselves, the faculty member may be
- suspended by the President or the Provost until final action is taken by the president.
- The faculty member's pay shall be continued unless they are suspended or removed
- by the president.
- Formal proceedings are those which are brought to the attention of the president to
- inquire into the fitness of a faculty member. Such proceedings are initiated by written
- charges, which must involve the incompetency of faculty members, or their neglect or
- refusal to perform their duties, or their dishonesty, drunkenness, or immoral conducts.
- 17 The written charges must also give notice that a hearing to consider the charges will
- be held before the president on a particular day and at a
- certain time and place, that faculty members may be present with or without counsel,
- and that they may produce witnesses or other evidence on their behalf at the hearing.
- 21 The notice and charge must be handed to faculty members personally, or it may be
- sent to them by registered mail at their most recent address as listed in the University
- 23 Directory or other known location. If delivered personally, an affidavit of service must
- be presented to the president by the person who performed the service. If service is
- 25 had by mail, a return receipt properly signed by faculty members or their agent for
- service shall be presented to the president. The affidavit or return receipt must reveal
- 27 that the faculty member received the charge and notice at least ten (10) days before
- the hearing is conducted before the president. Should faculty members not be present
- 29 for service or should registered mail notice not be perfected, a hearing shall not be had
- until after thirty (30) days service. Should faculty members or their attorney request
- additional time in which to prepare their defense or to seek counsel, the president may
- 32 grant such additional time and continue or postpone the hearing to another day and
- 33 time.

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The president shall conduct the hearing at the time and place called for in the notice or 1

- at the postponed time if additional time is requested. Such hearings shall not be 2
- public, and either party may ask that all witnesses not be present while any person is 3
- testifying. The president shall administer an oath or affirmation to all persons who 4
- may give evidence. 5
- The formal legal rules of evidence need not be followed, and the president shall 6
- determine what evidence may or may not be presented. The proper University 7
- administrative official or attorney shall present the case against the faculty member, 8
- and such member or their attorney may have the right to cross examine any witnesses 9
- testifying against them. 10
- Faculty members may produce witnesses on their behalf, who may be cross examined. 11
- They may also produce any other evidence which they may deem favorable to their 12
- positions. 13
- At any time during the proceedings, the president may question any witness or call for 14
- a point of order of procedure to be clarified. 15
- After the testimony has been adduced and each side concluded its evidence, the 16
- president shall retire and deliberate on the charges brought against the faculty 17
- member. 18
- The president may determine that the charges are not properly founded and, if so, 19
- shall so declare. If the president decides that the charges have merit, faculty members 20
- may be disciplined by the president, but no faculty members shall be removed except 21
- for incompetence, neglect or refusal to perform their duties, dishonesty, drunkenness, 22
- or immoral conduct. The findings and conclusions of the president shall be in writing 23
- and delivered to faculty members or their attorney. 24
- In the event the president desires, it may appoint a committee of five faculty members 25
- to investigate any complaint concerning a member of the faculty. It is assumed that 26
- when any complaint has to do with the competency of a faculty member, the president 27
- shall appoint the faculty committee to investigate the same. Such committee may hold 28
- a hearing concerning any charges lodged against the faculty member and shall follow 29
- the same procedure set out for the president when a hearing is conducted before that 30
- body. Such committee when appointed, after making an investigation and conducting 31
- 32 a hearing, shall recommend to the president either that the complaint is or is not well-
- founded, and if the finding is that the complaint is well-founded, shall recommend to 33

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the president disciplinary action, including the possible suspension or expulsion of the

- 2 faculty member. Upon the receipt of the committee recommendation, the president
- 3 shall make the final decision on the complaint. In any such case, the faculty member
- 4 shall have the right of appeal from the recommendation of the faculty committee.
- 5 Should such appeal be taken, the procedure before the president outlined above shall
- 6 be followed.
- 7 Approved by Faculty Senate, bill 75-A-01; Approved by Board of Regents November

8 1997

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Action	Date
Introduced to Senate	03/29/2023
Second Senate Meeting	
Faculty Senate Vote	
President's Review	
Board of Regents Approval	

Posted to Faculty Handbook